

000FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE REV. 2/01T		CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER 02814.0069-00000
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37CFR1.5) 10/518,716
INTERNATIONAL APPLICATION NO. PCT/ZA03/00087	INTERNATIONAL FILING DATE July 4, 2003	PRIORITY DATE CLAIMED July 5, 2002
TITLE OF INVENTION PHOSPHORUS CONTAINING LIGANDS FOR MATATHESIS CATALYSTS		
APPLICANT(S) FOR DO/EO/US Catherine Lynn DWYER, Ann Elizabeth Catherine McCONNELL, and Grant Stephen FORMAN		
Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1.	<input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C 371.	
2.	<input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.	
3.	<input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.	
4.	<input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).	
5.	<input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).	
	a.	<input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).
	b.	<input type="checkbox"/> has been communicated by the International Bureau.
	c.	<input type="checkbox"/> is not required, as the application was filed with the United States Receiving Office (RO/US).
6.	<input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).	
	a.	<input type="checkbox"/> is attached hereto.
	b.	<input type="checkbox"/> has been previously submitted under 35 U.S.C. 154 (d)(4).
7.	<input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).	
	a.	<input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).
	b.	<input type="checkbox"/> have been communicated by the International Bureau.
	c.	<input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.
	d.	<input type="checkbox"/> have not been made and will not be made.
8.	<input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).	
9.	<input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).	
10.	<input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).	
Items 11 to 20 below concern document(s) or information included:		
11.	<input type="checkbox"/> Information Disclosure Statement under 37 CFR 1.97 and 1.98	
12.	<input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13.	<input type="checkbox"/> A FIRST preliminary amendment.	
14.	<input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.	
15.	<input type="checkbox"/> A Substitute specification.	
16.	<input type="checkbox"/> A change of power of attorney and/or address letter.	
17.	<input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.	
18.	<input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154 (d)(4).	
19.	<input type="checkbox"/> A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).	
20.	<input checked="" type="checkbox"/> Other items or information:	
	a.	<input type="checkbox"/> Copy of cover page of International Publication No.
	b.	<input checked="" type="checkbox"/> Copy of Notification of Missing Requirements.
	c.	<input type="checkbox"/>

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<p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <table> <tr> <td colspan="2">BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</td> <td>\$300.00</td> <td>Applicant Use</td> <td>Office Use Only</td> </tr> <tr> <td colspan="2">National Stage Search Fee.....</td> <td>\$500.00</td> <td>\$</td> <td>\$</td> </tr> <tr> <td colspan="2">National Stage Examination Fee.....</td> <td>\$200.00</td> <td>\$</td> <td>\$</td> </tr> <tr> <td colspan="6" style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT = \$</td> </tr> </table> <p><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</p> <table> <thead> <tr> <th>Total Sheets</th> <th>Extra Sheets</th> <th>Number of additional 50 or fraction thereof (rounded up to a whole number)</th> <th>Rate</th> <th></th> </tr> </thead> <tbody> <tr> <td>- 100 =</td> <td>/50=</td> <td></td> <td>x 250.00</td> <td>\$</td> </tr> </tbody> </table> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 \$130.00</p> <table> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> <th></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>- 20 =</td> <td></td> <td>x \$50.00</td> <td>\$</td> </tr> <tr> <td>Independent Claims</td> <td>-3 =</td> <td></td> <td>x \$200.00</td> <td>\$</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+\$360.00</td> <td>\$</td> </tr> <tr> <td colspan="4">TOTAL OF THE ABOVE CALCULATIONS =</td> <td>\$130.00</td> </tr> <tr> <td colspan="5"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by $\frac{1}{2}$. \$</td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL = \$130.00</td> </tr> <tr> <td colspan="5">Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 \$</td> </tr> <tr> <td colspan="5" style="text-align: right;">TOTAL NATIONAL FEE = \$130.00</td> </tr> <tr> <td colspan="5">Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. + \$</td> </tr> <tr> <td colspan="5" style="text-align: right;">TOTAL FEES ENCLOSED = \$130.00</td> </tr> <tr> <td colspan="5" style="text-align: right;">Amount to be refunded: \$</td> </tr> <tr> <td colspan="5" style="text-align: right;">charged: \$</td> </tr> <tr> <td colspan="5"> <p>a. <input checked="" type="checkbox"/> A check in the amount of \$ 130.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> </td> </tr> <tr> <td colspan="5">NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</td> </tr> <tr> <td colspan="5">SEND ALL CORRESPONDENCE TO:</td> </tr> <tr> <td colspan="5">Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, NW Washington, D.C. 20001-4413</td> </tr> <tr> <td colspan="5">  SIGNATURE Ernest F. Chapman/Reg. No. 25,961 NAME/REGISTRATION NO. </td> </tr> <tr> <td colspan="5">DATED: August 1, 2005</td> </tr> </tbody></table>						BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):		\$300.00	Applicant Use	Office Use Only	National Stage Search Fee.....		\$500.00	\$	\$	National Stage Examination Fee.....		\$200.00	\$	\$	ENTER APPROPRIATE BASIC FEE AMOUNT = \$						Total Sheets	Extra Sheets	Number of additional 50 or fraction thereof (rounded up to a whole number)	Rate		- 100 =	/50=		x 250.00	\$	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total Claims	- 20 =		x \$50.00	\$	Independent Claims	-3 =		x \$200.00	\$	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$360.00	\$	TOTAL OF THE ABOVE CALCULATIONS =				\$130.00	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 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UNITED STATES PATENT AND TRADEMARK OFFICE

Rec'd PCT/PTO 01 AUG 2005

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/518,716	Catherine Lynn Dwyer	02814.0069-00000
INTERNATIONAL APPLICATION NO.		
PCT/ZA03/00087		
IA. FILING DATE	PRIORITY DATE	
07/04/2003	07/05/2002	
CONFIRMATION NO. 4834		
371 FORMALITIES LETTER		
 OC000000016494906		

Date Mailed: 07/12/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/22/2004
- Copy of the International Search Report filed on 12/22/2004
- Copy of IPE Report filed on 12/22/2004
- Information Disclosure Statements filed on 12/22/2004
- Oath or Declaration filed on 12/22/2004
- U.S. Basic National Fees filed on 12/22/2004
- Priority Documents filed on 12/22/2004

JUL 14 2005

RE: FINNEGAN, HENDERSON, FARABOW,
 GARRETT & DUNNER, LLP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - The oath or declaration is missing. Also, the name of the first inventor is spelled differently on the transmittal letter than on the IA.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

7-14-05 Attorney 456-458
 384-384-604
 Due Date 9-12-05 w/ ext
 Action Rev'd dsch, DL
 By B

RTK
 JUL 15 2005
 1 PM

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/518,716	PCT/ZA03/00087	02814.0069-00000

FORM PCT/DO/EO/905 (371 Formalities Notice)